

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 14 February 2022
My Ref:
Your Ref:

**Committee:
Audit Committee**

Date: Tuesday, 22 February 2022
Time: 10.00 am
Venue: Shrewsbury Room, Shirehall, Abbey Foregate, Shrewsbury,
Shropshire, SY2 6ND

You are requested to attend the above meeting. The Agenda is attached
Members of the public will be able to access the live stream of the meeting by clicking on this link:

<https://shropshire.gov.uk/AuditCommitteeMeeting22February2022>

There will be some access to the meeting room for members of the press and public but this will be very limited due to current Health and Safety Regulations. If you wish to attend the meeting, please e-mail democracy@shropshire.gov.uk to check that a seat will be available for you.

Tim Collard
Interim Assistant Director – Legal and Democratic Services

Members of Audit Committee

Rosemary Dartnall
Simon Harris (Vice Chairman)
Nigel Lumby

Brian Williams (Chairman)
Roger Evans

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must declare their disclosable pecuniary interests and other registrable or non-registrable interests in any matter being considered at the meeting as set out in Appendix B of the Members' Code of Conduct and consider if they should leave the room prior to the item being considered. Further advice can be sought from the Monitoring Officer in advance of the meeting.

3 Minutes of the previous meetings held on the 16 September and 22nd October 2021 (Pages 1 - 14)

The Minutes of the meetings held on the 16 September and 22nd October 2021 are attached for confirmation.
Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 10.00 am Friday 18 February 2022.

5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for notification for this meeting is 10.00 am on Friday 18 February 2022.

6 Second line assurance: Treasury Strategy Mid-Year Report 2021/22 (Pages 15 - 42)

The report of the Executive Director of Resources (Section 151 Officer) is attached.
Contact: James Walton (01743) 258915

7 Second line assurance: Treasury Strategy 2022/23 (Pages 43 - 104)

The report of the Executive Director of Resources (Section 151 Officer) is attached.
Contact: James Walton (01743) 258915

8 Second line assurance: Strategic Risk Update (Pages 105 - 110)

The report of the Risk and Insurance Manager is attached.
Contact: Angela Beechey (01743) 258915

9 Second line assurance: Annual review of Counter Fraud, Bribery and Anti-Corruption Strategy and activities, including an update on the National Fraud Initiative (Pages 111 - 154)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

10 Governance Assurance: Annual review of Audit Committee Terms of Reference (Pages 155 - 166)

The report of the Executive Director of Resources (Section 151 Officer) is attached.
Contact: James Walton (01743) 258915

11 Governance Assurance: Annual Audit Committee Self-Assessment (Pages 167 - 194)

The report of the Executive Director of Resources (Section 151 Officer) is attached.
Contact: James Walton (01743) 258915

12 Governance Assurance: Draft Audit Committee work plan and future training requirements (Pages 195 - 222)

The report of the Executive Director of Resources (Section 151 Officer) is attached.
Contact: James Walton (01743) 258915

13 Governance Assurance: Internal Audit Quality Assurance - External Assessment Outcomes 2021/22 (Pages 223 - 244)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski 01743 257739

14 Third line assurance: Internal Audit Charter (Pages 245 - 264)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski 01743 257739

15 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2021/22 (Pages 265 - 290)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

16 Third line assurance: Report of the Audit Review of Risk Management (Pages 291 - 294)

Report of the Principal Auditor is attached.
Contact: Katie Williams (01743) 257737

17 Third line assurance: Internal Audit Plan 2022/23 (Pages 295 - 308)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski 01743 257739

18 Third line assurance: External Audit, Audit progress report and sector update (Pages 309 - 324)

The report of the Engagement Lead is to follow.
Contact: Grant Patterson (0121) 232 5296

19 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 30 June 2022 at 10.00 am - tbc.

20 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

21 Exempt minutes of the previous meeting held on the 16th September 2021 (Pages 325 - 328)

The Exempt Minutes of the meeting held on the 16 September 2021 are attached for confirmation.
Contact: Michelle Dulson 01743 257719

22 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 329 - 334)

The report of the Principal Auditor is attached.
Contact: Katie Williams (01743) 257737